COAS 300.4.5 RETENTION, TENURE, AND PROMOTION STANDARDS AND PROCEDURES

Ratified: 11/18/91

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Kauneu: 11/16/91					
I. DEFINITIONS OF TERMS AND ABBREVIATIONS					
The College of Arts and Sciences (CoAS) uses the same definitions, terms, and					
abbreviations as defined in the University RTP document.					
A. In the standards and procedures described by this document, "is" is informative,					
"shall" is mandatory, "may" is permissive, "should" is conditional, and "will" is					
intentional.					
B. The following terms, important to understanding faculty policies and procedures					
for retention, tenure, and promotion, are herein defined.					
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1. Candidate - a faculty unit employee being evaluated for retention, tenure, or					
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——————————————————————————————————————					
2. College - College of Arts and Sciences.					
3. Discipline - a program with full-time tenure track faculty positions					
(including joint appointments) and those designated as Interdisciplinary.					
4. Evaluation - an assessment of a faculty member's performance. An					
evaluation shall not include a recommendation for action.					
5. Peer Review Committee - the committee of full-time faculty unit employees					
whose purpose is to review and make recommendations on faculty unit					
employees who are being considered for retention, tenure, and promotion.					
employees who are being considered for retention, tendre, and promotion.					
6. Probationary Faculty - a full-time faculty unit employee appointed on a term					
contract who is on a tenure track.					
7. Promotion - the advancement of a probationary or tenured faculty unit					
employee who holds academic rank to a higher academic rank.					
8. Recommendation a position on the personnel action for which the faculty					
unit employee is being considered. A recommendation shall state in writing					
the reasons for the recommendation.					
the reasons for the recommendation.					
O Detention the decision to remove a contract at the muchations are status					
9. Retention - the decision to renew a contract at the probationary status.					
10 PMP					
10. RTP retention, tenure, and/or promotion.					

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11. Tenure—the right to continued permanent employment at the campus as a faculty unit employee except when such employment is voluntarily terminated or is terminated by the employer pursuant to the MOU or law.

II. PREAMBLE

This document sets forth general standards and criteria for retention, tenure, and promotion of full-time faculty in the College of Arts and Sciences. The provisions of this document are intended to be implemented in conformity with University-wide RTP policies, and may be complemented and refined by disciplinary documents that further specify standards, criteria, and expectations of performance.

III. GUIDING PRINCIPLES

A. GENERAL GUIDING PRINCIPLES

1. All standards and criteria should reflect the University Mission, Vision and Values Statement and advance the goals embodied in that statement, including the following:

• That students be "taught by active scholars and artists."

• That student learning be enhanced through "sustained excellence in teaching, research, and community partnerships."

• That "individual and cultural diversity, and multiple perspectives" be promoted and endorsed.

• That the education of students include dedication to the values of intellectual engagement, community, integrity, innovation, and inclusiveness.

2. The three performance areas that shall be evaluated, scholarshipresearch/creative activity, instructionteaching, and service, are integral faculty activities. While recognizing instructionteaching as a central institutional mission, the College and disciplinary standards and criteria should recognize the diversity of each faculty member's contribution to the University. While the College affirms the university-wide requirement of sustained high quality performance in all areas, it encourages flexibility in the relative emphasis placed on each of the three performance areas. The College respects and allows diversity of contribution in individual attainment of the expected level of overall performance.

3. The evaluation of faculty performance in the areas of <u>instructionteaching</u>, <u>scholarshipresearch</u>/creative activity, and service must be done in the context of the University's level of development. Methods of performance assessment for <u>scholarshipresearch</u>/creative activity, <u>instruction</u>teaching, and service shall be

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clearly specified and uniformly applied to all faculty. Activities assessed in one area of performance shall not be duplicated in any other area of performance evaluation.

4. As specified in the CBA, faculty have the right to clearly articulated performance expectations at all levels and stages of the RTP process. The RTP process should be simultaneously evaluative and developmental and be carried out in a

cooperative, collaborative environment.

5. Retention, tenure, and promotion decisions are made on the basis of evaluation of individual performance, and ultimate responsibility for meeting all standards and criteria rests with the candidate. Sound advice and counsel by tenured faculty can significantly contribute to the achievement of the highest level of individual performance and should be available. Candidates may choose whether to avail themselves of such advice and counsel.

B. STANDARDS APPLIED IN DIFFERENT TYPES OF DECISIONS

1. It is expected that candidates for retention at the rank of assistant professor will show increasing effectiveness in each area of performance and demonstrate consistent progress toward meeting the tenure requirements in the areas of instruction teaching, scholarship research/creative activity, and service.

2. Promotion to the rank of associate professor requires an established record of effectiveness in instructionteaching, seholarlyresearch/creative achievements, and involvement in service activities that enhance the institution and the profession.

3. Promotion to the rank of professor requires evidence of continued commitment to and effectiveness in <u>instructionteaching</u>, service to the University and/or the profession, and evidence of substantial achievement in <u>scholarlyresearch</u>/creative activities.

4. The granting of tenure at any rank recognizes accomplishments and services performed during the probationary years. Further, the granting of tenure is an expression of confidence that the faculty member has both the commitment to and the potential for continued development and accomplishment throughout his/her career. Tenure should ordinarily not be granted to individuals whose record does not meet the standards required to earn promotion to the rank at which the tenure will be granted.

130 IV. STANDARDS AND CRITERIA

A. INSTRUCTIONTEACHING

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- 1. The central, although not exclusive, mission of the faculty is to enable students to comprehend and to utilize knowledge through scholarly intellectual activity that is both challenging and encouraging. Quality instructionteaching requires continual crafting and dedication. Toward that end faculty are expected to learn about pedagogy, to carefully consider how to teach as well as what to teach. Faculty members are expected to strengthen continually their teaching skills continually and to demonstrate overall effectiveness in scholarly instruction at the undergraduate and/or graduate level. Toward this end, faculty are encouraged in every way to cultivate and maintain useful, innovative, and stimulating instructional techniques, in consultation with mentoring peers and to be mindful of the conclusions and recommendations of evaluating entities.
- 2. Probationary and tenured faculty are expected to set clear expectations of success and to instruct with the assumption that all students can learn. Faculty should involve students actively in the learning process and employ various instructional techniques. Faculty should adapt their instructional methods to reach and to encourage the participation of all segments of a diversethe student body. Faculty should emphasize practices known to be effective at increasing substantially the participation of women, underrepresented groups, and the disabled in the learning process.
- 3. <u>Instructional Teaching</u> activities include, but are not limited to:
 - classroom teaching

- laboratory teaching
- studio teaching
- conducting seminars
- supervision of field work, independent research, and library research
- training and supervision of teaching and graduate assistants
- individual consultation with students concerning course related matters.
- 4. While the elements of <u>instructionteaching</u> may vary among disciplines and candidates, <u>the</u> evaluations of <u>instructionalteaching</u> performance <u>should-will</u> consider the scholarly content and currency of courses, classroom performance, the incorporation of writing and critical thinking, efforts undertaken to improve <u>instructionteaching</u>, the quality of advising, availability during office hours, interdisciplinary and multidisciplinary activities, participation in course or curriculum development, and pedagogical innovations.

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- 5. As outlined in the university RTP policy, the candidate must include a reflective statement on their teaching. In addition, tThe following items may be included in the reflective statement: a self evaluation, a statement of teaching philosophy, reflections on student evaluations, discussion of the type of classes taught, discussion of collaboration in teaching, or a discussion of learning goals, and activities, and methods for assessment.
- 56. Evidence of instructional teaching performance in the supplemental fileWPAF shallould include, but is not limited to, the following: peer evaluations; student evaluations for a minimum of two classes annually; a list of courses taught; samples of instructional teaching materials, such as syllabi, examinations, assignments, handouts, and other assessment tools, and handouts; and descriptions of new courses developed; and certificates of recognition for instruction. Additionally, the supplemental file may include:
 - Selected, representative course materials, such as assignments, examinations, handouts.
 - Information about the direction/supervision of independent study/research projects, graduate theses, etc. Limit of one (1) paragraph regarding each.
 - Statements from colleagues who have observed the candidate in the classroom or who have systematically reviewed the candidate's course materials.
 - Information concerning the candidate's performance as a faculty advisor (e.g., notes/letters from students, letters from faculty who are in a position to judge the candidate's performance as an advisor). The authors of such documents must be identified by name (M.O.U. CBA 15.16b).
 - Information concerning honors or recognition related to <u>instruction</u>teaching (e.g., distinguished teaching awards).
 - An audiotape or videotape of a representative class session.
 - Statements from alumni addressing the candidate's quality of teaching/advising.
 The authors of these documents must be identified by name (M.O.UCBA
 15.16b)
 - Examples of graded student work showing excellent, average, and poor work, along with the professor's comments as to why they were so graded.
 - Any additional information not included in the narrative (e.g., documentation of professional development related to pedagogy).
- 67. Limitations that may be relevant for the faculty's effectiveness in instructionteaching (e.g., limited library and laboratory resources, limited

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availability of audiovisual, computing, and other nonprint materials, and the need to teach courses outside one's area of expertise) shall be taken into account when evaluating performance in this area.

B. RESEARCH/CREATIVE ACTIVITY

- It is essential to the University's mission that each faculty member demonstrate
 continued commitment, dedication, and growth as a scholar and/or creative artist.
 In all cases, scholarshipresearch/creative activity results in an original
 contribution to knowledge or understanding in the field and includes the
 dissemination of that knowledge beyond the classroom.
- 2. Research/creative activity may be basic, applied, integrative, and/or related to instructionteaching. The relative weights given to scholarly research/creative contributions in each of these areas may vary across disciplines. Similarly, the nature of the expected scholarly research/creative contributions will vary across disciplines. Each discipline shall clearly specify in its personnel document those activities that are appropriate indicators of scholarship/creative activity for its faculty.
- 3. Research/creative activity includes, but is not limited to:
 - publications in refereed journals
 - publications in refereed conference proceedings
 - published book chapters, books, music, scripts, poetry
 - scholarly editing and/or reviewing
 - translating into other languages or media
 - artistic presentations, performances, recitals, or exhibits
 - films, videos, or other media projects
 - research published on digital media
 - presentations at professional meetings
 - pedagogic research and exposition, or materials development
 - demonstration of creative work for peer review
 - applied research

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- grant activity (funded grants, proposals)
- computer software development
- documented, active participation in specialized colloquia, seminars, symposia, or conferences
- fellowships, awards, or honors
- evidence of research or creative activity evidence in progress
- refereeing of a book, journal article, monograph, or conference papers
- 4. Measurement of scholarly/creative achievements should always include evaluation by professional persons in a position to assess the quality of the contribution to the field. Professional evaluation includes, but is not limited to, acceptance of a scholarly or creative work by an editorial board or jury. In all cases, quality of scholarly/creative achievements should be evaluated.
- 5. In the development of its Standards, each discipline shall take into account those inherent limitations of the developmental stage of the University that may be relevant for its faculty's scholarly/creative achievements.

C. SERVICE

- 1. The College views activities that enhance the institution and the profession, both locally and nationally, as integral components of faculty service responsibility. In a growing institution such as CSUSM, service endeavors can prove to be immense and deserve to be evaluated with consideration for the demands of such undertakings. Not only should the contributions' value be considered, but so also should the effect of the level of service contribution on other scholarly and instructional areas of performance.
- 2. While the magnitude of service rendered may vary, in each instance the evaluation of service must be guided by the quality of that service and its relevance to the University's mission. of service shall include evaluation of the quality of service rendered, the extent to which the service rendered contributed to the University's mission, and the appropriateness of the service to the faculty member's rank.
- 3. Evaluation of service shall include evaluation of the quality of service rendered, the extent to which the service rendered contributed to the University's mission, and the appropriateness of the service to the faculty member's rank.
- <u>34.</u> Service activities may include, but are not limited to, the following:

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316 317	• membership and offices held on committees, governing bodies, and task forces at the <u>Department/Program</u> , College, and University level.
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319	 membership and offices held on committees, editorial boards, professional
320	advisory boards, external review teams, governing bodies, and task forces at
321	the local, national, and international level.
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323	 consultantship to community organizations
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325	 professional consultantships of a service nature
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327	 service as faculty advisor to student organizations
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329	 mentoring of faculty and/or students
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331	 advising a student group
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333	• administrative activities such as scheduling, program coordination, or other
334	special assignments
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336	 offices held and participation in professional organizations
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338	• lectures, presentations, performances or displays given to community groups.
339	or schools
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341	 organizing regional or national conferences, workshops, or seminars
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343	 service award, fellowship or honor
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345	 editing of a journal, book, or monograph
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347	 refereeing of a book, journal article, monograph, conference
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349	 op-ed pieces, letters to the editor, radio and TV interviews
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351	45. Documentation of service may include, but shall not be limited to:
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353	• a list/description of service to the community, university, college, department,
354	or discipline
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356	 evaluation by fellow committee members regarding quality of service provided
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358	 documents, reports or other evidence of committee service
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360	• letters from appropriate organizers, officers, panel chairs, editors or similar
361	officials of regional or national organizations/publications with which the

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362	candidate was involved as an officer, speaker, panelist, external reviewer,
363	referee, consultant, visiting lecturer, etc.
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365	 letters from community members who are in a position to comment on the
366	candidate's contributions, such as those who invited the candidate to speak or
367	worked with the candidate on a project
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369	 meeting agendas or programs
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371	 programs or fliers describing the event and/or listing the participants
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373	 awards made for the service (e.g., certificates, plaques)
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375	 newspaper clippings
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377	 videotapes
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379	 audiotapes
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2 (Appendix to the 2004-05 Academic Policy Committee Annual Report) 3 4 5 6 7 Considerations that have guided the development of a New Academic Calendar 8 Balancing instruction across the days of the week 9 Increasing time for grading final exams and assigning final grades Correcting an unintended consequence of the current calendar which sometimes 10 11 eliminates January benefits for adjunct instructors 12 • Adding an additional day of final exams in the Fall to reduce the number of weekday classes with Saturday final exams 13 14 Creating a longer break between the Fall and Spring semesters Keeping Commencement before Memorial Day weekend 15 16 17 Additional factors that have guided the development of a New Academic Calendar Restoring a Fall start date that allows Financial Aid checks to be mailed out further in 18 19 advance of the start of the Fall semester. 20 An earlier Fall start date may assist Veteran's in receiving "Break Pay." 21 22 **Balancing Instructional Days** 23 The 1998 Academic Senate established as a calendar planning principle that "Fifteen 24 (15) instructional days of each day of the week be included in each semester. A 25 maximum of one day of the week with only 14 instructional days is acceptable." 26 • The current calendar has a 15-15-15-14-14 M-T-W-R-F pattern in the Fall and a 27 14-15-15-15-14 pattern in the Spring. 28 • The new calendar proposal has a 14-15-15-15 M-T-W-R-F pattern in the Fall 29 The "missing" Monday is Labor Day and either (depending on the year) a 14-15-15-15 or a 15-15-15-15 pattern in 30 31 the Spring 32 The "sometimes missing" Monday is Martin Luther King, Jr. Day 33 34 Time for Grading Exams 35 The current calendar was developed with three work days for grading in each term: 36 Monday-Wednesday in Fall (unless the grading period spills into January 37 because the campus closes) Friday, Monday and Tuesday in the Spring 38 39 Thursday, Friday and Monday in the Summer

APC Draft Outline of a New Academic Calendar Proposal

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final exam grading/grade assignment period in both the Fall and Spring.

• Discussion in the Academic Senate last year clearly established a need for at least one

The new calendar proposal will have a four working day (Monday through Thursday)

additional grading day in the Fall.

44 Effect on Adjunct Benefits

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- The current calendar has January as the first pay period of the Spring semester
 - Under older calendars, the first pay period was February
- Adjunct faculty whose contracts are reduced from .40 or higher in the Fall to below .40 in the Spring, now lose their benefits one month sooner
 - At the end of December, instead of the end of January.
- The new calendar proposal starts the Spring semester slightly later than the current calendar does, making it possible to once again have February be the first pay period of the Spring semester
 - Thus benefits will continue through January for adjunct instructors whose time bases are reduced as described above.

Restoring Complete Finals Weeks

- The current calendar has a five-day (Tuesday-through-Saturday) Final Exams period in the Fall
 - The Monday of the last week is an instructional day which balances the loss of an instructional Monday due to Labor Day
 - and a six-day (Friday-through-Thursday, with no exams on Sunday) Final Exams period in the Spring
- The new calendar proposal has six-day (Saturday-through-Friday, with no exams on Sunday) Final Exams periods in both the Fall and Spring semesters.

Increasing the Winter Break

- The current calendar starts the Wednesday before Martin Luther King, Jr. Day (mid-January) and has only the following holidays before the Winter break
 - Martin Luther King, Jr. Day
 - Spring Break (scheduled to include Cesar Chavez Day)
 - Memorial Day
 - Independence Day
 - Labor Day
 - Thanksgiving Day (and the following Friday)
- Even so, sometimes Fall grades are not due until January.
 - In January 2009 (even with only a 3-day finals grading period), there would only be 11 calendar days between Fall grades due and the Spring Faculty Preparation Period.
 - This doesn't leave enough time to perform essential processes (rolling and posting final grades, schedule adjustments in light of Fall grades received, etc.)

Increasing the Winter Break

• The new calendar proposal starts the Fall semester early enough that (even with an additional day of exams, and an additional grading day) grades are always due before Christmas.

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Calendar days between Fall and Spring semesters	Current Calendar	New Calendar Proposal
2006-07	20	26
2007-08	12	26
2008-09	11	27
2009-10	20	33

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Commencement before Memorial Day weekend

- The New Calendar Proposal preserves the feature in the current calendar of having Commencement take place before the Memorial Day weekend
 - With earlier calendars, there had been complaints about having Commencement take place on the Memorial Day weekend.
 - The New Calendar Proposal determines Spring semester dates so as to give the latest possible start to the Spring semester that is consistent with having Commencement on the weekend before the Memorial Day weekend.

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How is this all possible?

- The current calendar was designed to be a YRO calendar and in order to achieve CO standards for a YRO Summer, it included a Summer Session with
 - 12 weeks of instruction (with two holidays: Memorial Day and Independence Day)
 - A 3-day faculty preparation period
 - A 4-day (Saturday-through-Wednesday, with no exams on Sunday) Final Exams period
 - A 3-day grading period at the end of the session
 - A total of almost 14 weeks
- Budget realities have kept us from being able to significantly grow Summer enrollments, and so the new calendar proposal returns the campus to a ten week Summer session with
 - 10-week classes running the full length of the session
 - 5-week classes running in each half of the session
- This gives almost 4 weeks that can be reallocated elsewhere in the calendar.

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115	What else changes?
116	Due to calendar nuances, the details may change slightly from year-to-year, but roughly.
117	1. Two of these weeks are used to create one week "dead period" buffers before and
118	after the summer session.
119	2. The Fall semester begins a week and a half earlier. The additional days
120	 Balance Fall instructional days
121	• Classes begin on a Thursday to make-up for the Thanksgiving holiday
122	 Add an additional exam day, and an additional grading day
123	 The Faculty Preparation Period is shortened from 4 days to 3
124	 End the Fall semester earlier
125	3. The Spring semester gains an additional instructional day (in most years) and starts a
126	little later.
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128	Some of the Fine Detail Stuff (Start of the Fall Semester)
129	Current Calendar
130	• First day of classes is the Tuesday after Labor Day (September 2-4) or the
131	Monday before Labor Day (August 25-31)
132	Faculty Preparation Period is the preceding Tuesday-Friday
133	New Calendar Proposal
134	• First day of classes is 17 Thursdays before the last Thursday that the campus
135	is open in December (August 20-26)
136	Faculty Preparation Period is the preceding Monday-Wednesday
137	raconstruction ratios is the processing fractionally
138	Some of the Fine Detail Stuff (Start of the Spring Semester)
139	Current Calendar
140	• First day of classes is the Tuesday after Martin Luther King, Jr. Day (January
141	16-22)
142	 Faculty Preparation Period is the preceding Wednesday-Friday
143	New Calendar Proposal
144	• If there are 18 Mondays between Martin Luther King, Jr. Day and Memorial
145	Day
146	 First weekday of classes is the Monday after Martin Luther King, Jr.
147	Day (January 22-28)
148	• Classes begin on the preceding Saturday
149	 Faculty Preparation Period is the preceding Wednesday-Friday
150	 If there are 17 Mondays between Martin Luther King, Jr. Day and Memorial
151	Day
152	• First weekday of classes is the Tuesday after Martin Luther King, Jr.
153	Day (January 16-22)
154	• Classes begin on the preceding Saturday
155	 Faculty Preparation Period is includes as many days of the
156	Wednesday-Friday as can be included in the February pay period
157	Only Thursday and Friday in 2009
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Some of the Fine Detail Stuff (Start of the Summer Session) Current Calendar First day of classes is the Tuesday after Memorial Day (if Memorial Day is the 4th Monday in May) or the Monday before Memorial Day (if Memorial Day is the 5th Monday in May)

• Faculty Preparation Period is the preceding Wednesday-Friday

New Calendar Proposal

- First day of classes is the Monday after Memorial Day
- No Faculty Preparation Period (but the week of Memorial Day is a "dead week" between the Spring and the Summer)

Some of the Fine Detail Stuff (The Break between Spring and Fall)

 The new calendar proposal does reduce the break between the end of the Spring semester and the start of the Fall semester by typically about one and a half weeks

Calendar days between Spring and Fall semesters	Current Calendar	New Calendar Proposal
Summer 2006	97	96 (transitional year)
Summer 2007	104 (late Fall start; Finals end on 12/22)	87
Summer 2008	97	87
Summer 2009	97	87

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175 Financial Aid Disbursement 101 176 Federal regulations determine when aid can be disbursed to the student Aid cannot be disbursed to a student's account any earlier than 10 days prior to the 177 178 first day of classes. 179 Once funds are applied to a student's account, Student Financial Services / 180 Accounting runs the processes of creating direct deposits or checks for students. 181 Working together with Financial Aid, this is a two-to-three day process. 182 Starting on certain days of the week makes the process easier/faster, and other make it 183 harder/slower. 184 The worst case is when classes start on Tuesday or Wednesday 185 The earliest disbursement occurs on a weekend The worst of the worst is when the start of term is a Tuesday after a Monday 186 holiday, since students receiving checks by mail could be negatively impacted 187 by the two days prior to the start of term when no mail service is available 188 189 Fall Semesters: 190 The Current Calendar has the first day of class on either the Monday before 191 Labor Day or the Tuesday after Labor Day [Worst cases] 192 The New Calendar Proposal always has a Thursday start. **Spring Semesters:** 193 194 The Current Calendar has a Tuesday first day of class (day after Martin Luther 195 King, Jr. Day) [Always the worst case] 196 197 The New Calendar Proposal sometimes has the first day of class on the 198 Tuesday after Martin Luther King, Jr. Day, but also in some years this falls on 199 the Saturday after Martin Luther King, Jr. Day [Sometimes the worst case] 200

Calendar Effect on Veteran's Benefits

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• An earlier start to the Fall semester makes it easier for veterans to receive "Break Pay," which could be as mush as one month's pay.

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Resolution Honoring the Memory of Dr. Kevin Igasaki

WHEREAS, The success of Cal State San Marcos represents the hard work of talented and dedicated professionals; and

WHEREAS, Some of the most talented and dedicated among those individuals seldom draw attention to their hard work and accomplishments; and

WHEREAS, In our frenetic efforts to build a great institution, we often miss opportunities to adequately acknowledge, thank, and honor those among our colleagues who, in the ordinary course of their work make truly extraordinary contributions to our university; now, therefore, be it

RESOLVED, That the Academic Senate of California State University San Marcos acknowledges the extraordinary contributions of Dr. Kevin Igasaki to this institution, both in his official role as Director of the Language Learning Center, and also through his service on numerous university-level committees and initiatives; and be it further

RESOLVED, That the Academic Senate expresses its gratitude not only for more than a decade of Dr. Igasaki's service, but for the kindness and grace with which he served; and be it further

RESOLVED, That the Academic Senate honors the exemplary life of our colleague Dr. Igasaki and greatly mourns his passing and the immeasurable loss this represents to our university; and be it further

RESOLVED, That the Academic Senate extends its deepest sympathy to the family of our colleague who we will miss dearly and remember fondly always.