#### **ACADEMIC SENATE MEETING**

### Wednesday, December 5, 2012 1 – 2:50 p.m. (approx.) Commons 206

- I. Approval of agenda
- II. Approval of minutes of November 7<sup>th</sup> meeting
- III. Chair's report: <u>Jackie Trischman</u>

Referrals to committee: LATAC Intellectual Property policy revision: define "extraordinary support"

SAC Determine what strategies are employed when athletes and other

students are a few units short

- IV. <u>President</u>'s report: Karen Haynes
- V. <u>Provost</u>'s report: Emily Cutrer
- VI. VP Student Affairs' report: Eloise Stiglitz
- VII. <u>ASCSU</u> report: <u>Brodowsky/Meilich</u>
- VIII. <u>CFA report</u>: <u>Don Barrett</u>/Garry Rolison
- IX. <u>ASI</u> report: Cipriano Vargas
- X. <u>Standing Committee</u> reports: written reports for all committees are forthcoming
- XI. Consent Calendar Pending EC action. The following items are presented to the Senate for a single vote of approval without discussion. Any item may be removed for particular consideration by request of a senator prior to vote.
  - UCC Course & program change proposals
- XII. Action items These are items scheduled for a vote, including second reading items.
  - A. FAC College of Science and Mathematics RTP policy
  - B. FAC University RTP policy revision
- XIII. Discussion items Pending EC action. These are items scheduled for discussion, including first reading items.
  - A. FAC Department Chair Selection policy (cnava@csusm.edu)
  - B. BLP/UCC CoBA Healthcare Information Technology (HIT) certificate program (ysun@csusm.edu)
  - C. FAC Lecturer Evaluation policy (cnava@csusm.edu)
- XIV. Presentation

Proposal for an Academic Excellence & Student Success fee - Oberem/Rees Time certain 1:45 pm

XV. Senators' concerns and announcements

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## **Standing Committee Reports**

APC

No report.

#### BLP

<u>P-form Reviews:</u> We have submitted reviews for several P-forms to the Senate's Executive Committee, including the proposed Minor in Geospatial Studies (CHABSS) and the proposed certificate in Health Information Technology (COBA). COBA'S Health Information Technology (HIT) proposal is on today's Senate agenda. We will shortly begin reviewing P-forms for a proposed Master's in Social Work (MSW) and Master of Public Health (MPH), both from CEHHS.

<u>A-form Reviews:</u> A-form reviews are conducted by BLP to make recommendations about whether new majors or graduate programs should be added to the University Academic Master Plan (UAMP). Additions to the UAMP ultimately require approval from the Chancellor's Office and the Board of Trustees before they become official. BLP's positive recommendation serves as an invitation for proposers to put forward a full-blown program proposal (P-form), which includes a full curriculum and breakdown of anticipated student demand and resource needs. BLP has recommended that the following programs be added to the UAMP:

M.S. in Kinesiology (CEHHS): This will be proposed as self-support program. At the proposers' request, BLP has recommended that the Provost support launching this as a "pilot" program. This status allows a program to be launched and operated for 5 years before the Chancellor's Office or Board of Trustees makes a decision about authorizing an ongoing program. Using the pilot status route should allow the program to launch as early as Fall 2013. It should be noted that, while rules from the Chancellor's Office are not entirely clear on this point, there may be a limit on the number of "pilot" programs that can be launched or run on a given campus at any one time. After reviewing all submitted 3-year rolling plans and data collected by the Dean of CEHHS, BLP is unaware of any other pending programs that anticipate requesting "pilot" status at this time.

*B.A. in Theatre (CHABSS):* This program was placed on the Academic Blueprint in AY 2005-2006. BLP has recommended that the program be placed on the UAMP as a state-supported program, with a projected launch date of Fall 2015.

B.S. in Communicative Sciences & Disorders (CEHHS): BLP has recommended that this program be placed on the UAMP as an upper-division, self-support program. Initially proposed as the first 4-year self-support program to be offered at the CSUSM campus, our recommendation was to add the program to the UAMP, subject to the proposer's agreeing to launch as a upper-division transfer program. Proposer Suzanne Moineau agreed to this suggestion via correspondence with the BLP chair. The projected launch date is Fall 2014.

Review of Three-Year Rolling Plans in Academic Affairs: All units reporting to the Provost submitted proposals for 3-year rolling strategic plans and budget projections that lay out possible new programs, positions, equipment purchases, etc. All plans are available for review at BLP's webpage. BLP members met with the Provost's direct reports (all members of the Academic Affairs Leadership Council, or AALC) on November 19 to begin discussing the plans and to explore common themes and possible areas of collaboration among units that can be moved forward despite ongoing state budget constraints. We will meet again with AALC on December 13 to discuss common themes and possible collaborations to develop recommendations regarding the prioritization of proposals for the Provost's review. NOTE: The programmatic planning information in these 3-year rolling plans provide input for the LAMP task force's discussions of possible new program proposals.

#### FAC

Other than the business on the agenda, FAC's most pressing issue is the need for a representative from HHS.

**GEC** 

Courses reviewed and approved:

- WMST 300-17, The Politics of Motherhood, Area DD
- ANTH 360, Indigenous Anthropology, Area DD

## Other activity:

• **6o-unit/UDGE Rule**: Worked with Student Services to address the issues surrounding the 6o-unit/UDGE rule. This rule requires students to have completed 6o units prior to enrolling in an upper division general education course. However, since this has been softly enforced (through advising), some students have slipped through and taken their UDGE courses prior to reaching 6o units. GEC has approved giving credit to this group of students to ensure their timely gradation. The larger issue of how to enforce this is still under discussion.

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**LDGE Forms**: With the approval of the LDGE GELOs last year, the forms are being revised to reflect the changes. GEC has reviewed and approved Area A1 form (oral communication) and is currently working on a matching forms for the other areas. Once these forms are completed, we'll move on to the UDGE areas.

#### LATAC

LATAC has reviewed recent communications regarding Cal State Online, recent developments in online education, and the status of online instruction at CSUSM. We have identified a number of questions relating to these areas and are preparing a mid year report for Senate.

NEAC

No report.

#### PAC

PAC has continued to work and nearly completed it's response and recommendations for the School of Nursing B.S. Program Review.

#### SAC

We have been assigned to work on separate policies for Field Trips and Internship, per an Executive Order. At this point, we are gathering information from around campus as to how this is already handled. Any input/feedback from folks would be appreciated.

#### UCC

**Work completed in November 2012:** After careful review and extensive discussion with the originators and among UCC members, UCC approved Healthcare Information Technology Certificate Program with six courses. In addition, UCC approved ANTH 375, 379, BRS P-2 Minor in Border Studies, CHEM 021, HIST 331, LING 355 and NURS 493

Healthcare Information Technology Certificate program was launched in AY 2012-13 as a not-for-credit certificate program. It is currently being taught through Extended Learning. This proposal would, once approved by the Academic Senate, allow the program to be for-credit program through EL.

The program includes six 2-units courses:

HIT 500 - Healthcare Systems: Structure and Process

HIT 510 - Data Management for Healthcare Decision Support

HIT 520 - Electronic Health Records

HIT 530 - Data Communication and Security for Healthcare

HIT 540 - Managing Healthcare System Change

HIT 550 - Project Management and Process Improvement for Healthcare

The program emphasizes information technology, application of analytical methods, re-engineering, innovation, and change management. The program has support from healthcare professionals throughout the nation as well as faculty from CoBA, Computer Science and School of Nursing. All of the courses for the certificate programs have already been developed and half of them have been taught in fall semester 2012. The curriculum received inputs from an advisory board consisting of clinicians and healthcare professionals.

**Continuing Work:** UCC will continue the review of the new C form template. UCC is currently working with the originators regarding Minor in Geospatial Studies (p) and GEOG 130-232-236-330-491-691, VSAR 301, Master of Social Work to address UCC's concerns.

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## **CONSENT CALENDAR**

## **UCC Course & Program Change Proposals**

SUBJ	No.	New No.	Course/Program Title	Form Type	Originator	Rec'd AP	To UCC	UCC Action
ANTH	375		Money, Culture and Power	С	A. Yanez-Chavez	10/8/12	10/16/12	12/3/12
ANTH	379		Environmental Health and Justice	С	K. Martinez	10/8/12	10/16/12	11/19/12
BRS	P-2		Minor in Border Studies	P-2	V. Bennett	10/11/12	10/16/12	11/26/12
CHEM	021		Supplemental instruction in Intro Organic Chemistry	C-2	P. Jasien	10/15/12	10/16/12	12/3/12
HIST	331		Law, Sexuality and American History	C-2	A. Lombard	10/30/12	11/5/12	12/3/12
LING	355		Heritage Languages and Heritage Speakers	С	N. Bateman	10/30/12	11/5/12	12/3/12
NURS	493		Senior Nurse Externship	C-2	J. Daugherty	10/1/12	10/8/12	11/19/12

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#### 1 **FAC: CSM RTP Standards and Procedures** 2 3 Rationale: FAC has approved a revision to the College of Math and Science's RTP document. The college made 4 changes to the College of Arts and Sciences RTP document to create a new document that addresses 5 the specific needs of the new CSM. FAC reviewed it for compliance with the university RTP 6 document, and for clarity and consistency. 7 8 A policy governing the standards and procedures for retention, tenure and promotion of faculty within Definition: 9 the CSUSM College of Science & Mathematics. 10 Unit 3 collective bargaining agreement. 11 Authority: 12 Unit 3 faculty within the College of Arts & Sciences. Science & Mathematics 13 Scope: 14 15 I. **PREAMBLE** 16 17 This document sets forth general standards and criteria for retention, tenure, and promotion of full-time 18 faculty in the College of Arts and Sciences Science and Mathematics. The provisions of this document are intended to be implemented in conformity with university-wide retention, tenure and promotion policies, 19 20 and may be complemented and refined by disciplinary documents that further specify standards, criteria, 21 and expectations of performance. 22 23 II. **DEFINITIONS OF TERMS AND ABBREVIATIONS** 24 25 The College of Arts and Sciences Science and Mathematics (CoASM) uses the same definitions, terms, and 26 abbreviations as defined in the university retention, tenure and promotion (RTP) document. 27 28 III. **GUIDING PRINCIPLES** 29 30 General Guiding Principles A. 31 All standards and criteria should reflect the University Mission, Vision and Values 32 Statement and advance the goals embodied in that statement, including the following: 33 That students be "taught by active scholars.. and artists." 34 That student learning be enhanced through "sustained excellence in teaching, 35 research, and community partnerships." That "individual and cultural diversity, and multiple perspectives" be promoted 36 37 and endorsed. 38 That the education of students includes dedication to the values of intellectual 39 engagement, community, integrity, innovation, and inclusiveness. 40 2. The three performance areas that shall be evaluated, research/creative activity, teaching, 41 and service, are integral faculty activities. While recognizing teaching as a central institutional mission, the College and disciplinary standards and criteria should recognize 42 the diversity of each faculty member's contribution to the University. While the College 43 44 affirms the university-wide requirement of sustained high quality performance in all 45 areas, it encourages flexibility in the relative emphasis placed on each of the three 46 performance areas. The College respects and allows diversity of contribution in 47 individual attainment of the expected level of overall performance and further recognizes 48 that the relative emphasis may change during an academic career. The evaluation of faculty performance in the areas of teaching, research/creative activity, 49 3. and service must be done in the context of the University's level of development. 50 51 Methods-Standards of performance assessment for research/creative activity, teaching, and service as set forth in this document shall be elearly specified and uniformly applied 52 to all faculty in the College. Activities assessed in one area of performance shall not be 53 duplicated in any other area of performance evaluation. 54 55 As specified in the CBA, faculty have the right to clearly articulated performance 4. expectations at all levels and stages of the RTP process. The RTP process should be 56 57 simultaneously evaluative and developmental and be carried out in a cooperative, collaborative environment. 58 Retention, tenure, and promotion decisions are made on the basis of evaluation of 59 5.

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individual performance, and ultimate responsibility for meeting all standards and criteria

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61 rests with the candidate. Sound advice and counsel by tenured faculty can significantly 62 contribute to the achievement of the highest level of individual performance and should 63 be available. Candidates may choose whether to avail themselves of such advice and 64 counsel. 65 Standards Applied in Different Types of Decisions 66 B. 67 It is expected that candidates for retention at the rank of assistant professor will show increasing or sustained effectiveness in each area of performance and demonstrate 68 69 consistent progress toward meeting the tenure requirements in the areas of teaching, 70 research/creative activity, and service. 71 2. Promotion to the rank of associate professor requires an established record of 72 effectiveness in teaching, research/creative achievements, and involvement in service 73 activities that enhance the institution and the profession. 74 3. Promotion to the rank of professor requires evidence of continued commitment to and 75 effectiveness in teaching, service to the University and/or the profession, and evidence of substantial achievement in research/creative activities. 76 77 4. The granting of tenure at any rank recognizes accomplishments and services performed 78 during the probationary years. Further, the granting of tenure is an expression of 79 confidence that the faculty member has both the commitment to and the potential for 80 continued development and accomplishment throughout his/her career. Tenure should 81 not be granted to individuals whose record does not meet the standards required to earn 82 promotion to the rank at which the tenure will be granted. 83 84 IV. STANDARDS AND CRITERIA 85 86 Teaching A. 87 The central, although not exclusive, mission of the faculty is to enable students to 88 comprehend and to utilize knowledge through scholarly activity that is both challenging 89 and encouraging. Quality teaching requires continual crafting and dedication. Toward 90 that end faculty are expected to learn about pedagogy, to carefully consider how to teach, 91 as well as what to teach, and how to evaluate the effectiveness of their teaching. Faculty members are expected to strengthen their teaching skills continually and to demonstrate 92 93 overall effectiveness in instruction at the undergraduate and/or graduate level. Toward this end, faculty are encouraged in every way to cultivate and maintain useful, innovative, 94 95 and stimulating instructional techniques consistent with, best practices in the discipline. 96 in-Faculty are strongly encouraged to consultation with mentoring peers and to be 97 mindful of the conclusions and recommendations of evaluating entities. 2. 98 Probationary and tenured faculty are expected to set clear expectations of for student 99 success and to instruct with the assumption that all students can learn. Faculty should involve students actively in the learning process and employ various instructional 100 techniques appropriate for the course level, format, and audience. Faculty should adapt 101 102 their instructional methods to reach and to encourage the participation of all segments of 103 a diverse student body. 104 3. Teaching activities include, but are not limited to: 105 classroom teaching 106 laboratory teaching 107 studio teaching 108 conducting seminars • supervision of <u>field work and</u> independent research at both undergraduate and 109 110 graduate levels thesis supervision, and library research 111 supervision of internships and community service learning 112 113 training and supervision of teaching and graduate assistants individual consultation with students concerning course related matters. 114 While the elements of teaching may vary among disciplines and candidates, evaluations 115 4. of teaching performance will consider the scholarly content and currency of courses, 116 classroom performance, the incorporation of writing and critical thinking, efforts 117 118 undertaken to iteratively evaluate and improve teaching, the quality of advising, 119 availability during office hours, interdisciplinary and multidisciplinary activities,

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120			participation in course or curriculum development, consistency of pedagogy with best
121			<u>practices in the discipline</u> , and pedagogical innovations.
122		5.	As outlined in the university RTP policy, the candidate must include a reflective
123			statement on their teaching. The following items may be included in the <u>-</u> reflective
124			statement: a self evaluation, a statement of teaching philosophy, reflections on student
125			evaluations, discussion of the type of classes taught, discussion of collaboration in
126			teaching, <u>and/</u> or a discussion of learning goals, <u>-</u> activities, and methods for assessment.
127		6.	Evidence of teaching performance in the WPAF shall include, but is not limited to, the
128			following: student evaluations for a minimum of two classes annually per the CBA; a list
129			of courses taught at CSUSM (include both state-funded and self-support courses);
130			samples of teaching materials, such as syllabi, examinations, assignments, handouts, and
131			other assessment tools; and_descriptions of new courses developed. Additionally, WPAF
132			the supplemental file-may include:
133			<ul> <li>Information about the direction/supervision of independent study/research</li> </ul>
134			projects, graduate theses, etc.
135			• Grade distribution data compiled by the candidate for individual assignments or
136			overall grades referred to in narrative, such as in comparing an assignment
137			change from year to year or making a comparison between multiple sections of
138			the same course taught in a given semester
139			Grade distribution for courses taught.
140			• Statements from colleagues who have observed the candidate in the classroom
141			or who have systematically reviewed the candidate's course materials.
142			• Information concerning the candidate's performance as a faculty advisor (e.g.,
143			notes/letters from students, letters from faculty who are in a position to judge the
144			candidate's performance as an advisor). The authors of such documents must be
145			identified by name (CBA 15.16b).
146			• Information concerning honors or recognition related to teaching (e.g.,
147			distinguished teaching awards).
148			An audiotape or videotape of a representative class session.
149			• Statements from alumni addressing the candidate's quality of teaching/advising.
150			The authors of these documents must be identified by name. (CBA 15.16b)
151			• Examples of graded student work showing excellent, average, and poor work,
152			along with the professor's comments as to why they were so graded. <u>Student</u>
153			confidentiality must be protected: all-names must be redacted unless permission
154			has been obtained from the student (include copy of permission).
155			• Any additional information not included in the narrative (e.g., documentation of
156			professional development related to pedagogy).
157		7.	Limitations Factors that may be relevant for the faculty's effectiveness in teaching (e.g.,
158			limited library and laboratory resources, limited availability of audiovisual, computing,
159			and other nonprint materials, and the need to teach courses outside one's area of
160			expertise) shall be taken into account when evaluating performance in this area.
161			
162	B.	Researc	ch/Creative Activity
163		1.	It is essential to the University's mission that each faculty member demonstrate continued
164			commitment, dedication, and growth as a scholar-and/or creative artist. In all cases,
165			research/creative activity results in an original contribution to knowledge or
166			understanding in the field and includes the dissemination of that knowledge beyond the
167			classroom.
168		2.	Research/creative activity may be theoretical, experimental, applied, and/or related to
169			teachingResearch/creative activity may be basic, applied, integrative, and/or related to
170			teaching. The relative weights given to research/creative contributions in each of these
171			areas may vary within and across disciplines. Similarly, tThe nature of the expected
172			research/creative contributions will vary within and across
173			disciplines department/programs.
174		3.	Research/creative activity includes, but is not limited to:
175			<ul> <li>publications in refereed journals</li> </ul>
176			<ul> <li>publications in refereed conference proceedings</li> </ul>
177			<ul> <li>published book chapters, books, music, scripts, poetry</li> </ul>
178			• scholarly editing and/or reviewing
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170		. 1
179		translating into other <u>natural/artificial</u> languages or media
180		artistic presentations, performances, recitals, or exhibits  films, videos, or other media prejects.
181		films, videos, or other media projects  research mublished on digital media
182 183		<ul> <li>research published on digital media</li> <li>presentations at professional meetings</li> </ul>
184		<ul> <li>presentations at professional meetings</li> <li>pedagogic research and exposition, or materials development</li> </ul>
185		demonstration of creative work for peer review
186		applied research
187		• patents
188		• grant activity (funded grants, proposals)
189		<ul> <li>computer software development</li> </ul>
190		<ul> <li>documented, active participation in specialized colloquia, seminars, symposia,</li> </ul>
191		or conferences
192		fellowships, awards, or honors
193		evidence of research or creative activity in progress
194	• refereeing/revie	wing of a book, journal article, monograph, or conference papers
195	<u></u>	Measurement of scholarly/creative achievements should always include
196		evaluation by professional persons in a position to assess the quality of the
197		contribution to the field. Professional evaluation includes, but is not limited to,
198		acceptance of a scholarly or creative work by an editorial board or jury. In all
199		cases, quality of scholarly/creative achievements should be evaluated.
200	<u>4</u> 5.	In the <u>applicationdevelopment</u> of its <u>sS</u> tandards, each <u>discipline department/program</u>
201		shall take into account those inherent limitations of the developmental stage of the
202		University department/program that may be relevant for its faculty's scholarly/creative
203		achievements.
204		
205	C. Service	
206	1.	The College views activities that enhance the institution and the profession, both locally
207		and nationally, as integral components of faculty service responsibility. In the review
208		process, the value of the service contributions, as well as the effect of the level of service
209		contributions on the scholarly and instructional areas of performance, should be
210		considered.
211	2.	While the mThe magnitude of service rendered may vary In each instance, the
212		evaluation of service <u>mayshall</u> include evaluation of the quality of service rendered, the
213		extent to which the service rendered contributed to the University's mission, and the
214		appropriateness of the service to the faculty member's rank. It is recommended that
215	2	significant service contributions be accompanied by supporting documentation.
216	3.	Service activities may include, but are not limited to, the following:
217		• membership and offices held on committees, governing bodies, and task forces
218 219		<ul> <li>at the Department/Program[11], College, and University level.</li> <li>membership and offices held on committees, editorial boards, professional</li> </ul>
220		advisory boards, external review teams, governing bodies, and task forces at the
221		local, national, and international level.
222		<ul> <li>service as departmental graduate advisor</li> </ul>
223		eonsultantship to community organizations consultantship to community
224		organizations
225		professional consultantships of a service nature
226		service as faculty advisor to student organizations
227		mentoring of faculty and/or students
228		training and supervision of teaching and graduate assistants (if not counted
229		toward teaching)
230		• advising a student group
231		• thesis supervision (if not counted toward teaching)
232		• administrative activities such as scheduling, program coordination, or other
233		special assignments
234		<ul> <li>offices held and participation in professional organizations</li> </ul>
235		• lectures, presentations outreach activities, performances or displays given to
236		community groups, or schools
237		<ul> <li>organizing regional or national conferences, workshops, or seminars</li> </ul>

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238		service award, fellowship or honor
239		• editing of a journal, book, or monograph <u>(if not counted as research/creative</u>
240		activity)
241		• refereeing of a book, journal article, monograph, conference (if not counted as
242		research/creative activity)
243		• op-ed pieces, letters to the editor, radio and TV interviews
244	4.	Documentation of service may include, but shall not be limited to:
245		• a list/description of service to the community, university, college,
246		department/program, and/or discipline
247		<ul> <li>evaluation by fellow committee members regarding quality of service provided</li> </ul>
248		<ul> <li>documents, reports or other evidence of committee service</li> </ul>
249		<ul> <li>letters from appropriate organizers, officers, panel chairs, editors or similar</li> </ul>
250		officials of regional or national organizations/publications with which the
251		candidate was involved as an officer, speaker, panelist, external reviewer,
252		referee, consultant, visiting lecturer, etc.
253		<ul> <li>letters from community members who are in a position to comment on the</li> </ul>
254		candidate's contributions, such as those who invited the candidate to speak or
255		worked with the candidate on a project
256		<ul> <li>meeting agendas or programs</li> </ul>
257		<ul> <li>programs or fliers describing the event and/or listing the participants</li> </ul>
258		<ul> <li>awards made for the service (e.g., certificates, plaques)</li> </ul>
259		<ul> <li>newspaper clippings</li> </ul>
260		• <u>videotapes-media files</u>
261		• audiotapes
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1		FAC: University RTP
2 3 4 5	Rationale:	FAC has made some changes to the document to reinforce best practice regarding the "WPAF Checklist" for required material and the "Memorandum from the Candidate" specifying action requested and any special conditions of the initial appointment.
6 7 8 9	Definition:	The process for decisions regarding promotion, tenure and retention of faculty unit employees of CSU San Marcos shall be governed by the Faculty Personnel Procedures for Promotion, Tenure and Retention.
10 11 12 13	Authority:	The collective bargaining agreement between The California State University and the California Faculty Association.
14 15	Scope:	Faculty unit employees of CSU San Marcos.
16 17	[Pertinent pa	rts]
18 19	II. PERSO	ONNEL FILES
20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42	B. W 6	Vorking Personnel Action File (WPAF)  The WPAF, when submitted by the Candidate, shall contain:  a. The "WPAF Checklist" (see Faculty Affairs website), completed and signed by the Candidate.  b. A Memorandum from the Candidate stating the action the Candidate is requesting:  • Periodic Review (typically 1*/3rd/5th year)  • Retention Review (typically 2nd, 4th year)  • Tenure and/or Promotion Review  If applicable, the memorandum shall state any special conditions of initial appointment, such as award of years of service credit or completion of terminal degree.  a.c. A current curriculum vitae including all the accomplishments of the candidate's career. b.A statement outlining any special conditions of initial appointment, such as award of years of service credit or completion of terminal degree.  e.d. For faculty applying for periodic reviews; retention, tenure, or tenure and promotion, all personnel reviews since hire. For faculty applying for promotion after the award of tenure (or tenure and promotion), all personnel reviews beginning with the previous promotion review or original appointment materials. For faculty applying for tenure after promotion, all personnel reviews beginning with original appointment materials. Personnel reviews (including recommendations, rebuttals and responses) are defined as:
43 44 45 46 47		SPONSIBILITIES OF THOSE INVOLVED IN THE REVIEW CYCLE  ponsibilities of the Candidate  Preparation of the WPAF  a. Prior to the beginning of the review process, the Candidate shall be responsible for reviewing
48 49 50 51 52		<ul> <li>the Department/Unit/College/Library/School/SSP-AR evaluation criteria and review procedures that have been made available, including the CSUSM RTP timetable.</li> <li>b. Prior to the beginning of the review process, the Candidate shall be responsible for consulting campus resources relevant to the review process (e.g., the CBA, Academic Affairs, Faculty Center resources and workshops, and colleagues).</li> </ul>
53 54		c. Prior to the beginning of the review process, the Candidate shall be responsible for the identification of materials the candidate wishes to be considered and for the submission of

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such materials as may be accessible to the candidate. (15.12.a)

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d.

The Candidate shall be responsible for the organization and comprehensiveness of the WPAF.

- e. If the Candidate is requested to remove any material from the WPAF, the candidate can either remove the material or add explanations to the reflective statement about the relevance of the material.
- 2. Submission of the WPAF
- a. The Candidate shall be responsible for indicating clearly in a cover letter the specific action the candidate is requesting: consideration for retention, tenure, and/or promotion.
- The Candidate is responsible for submission of the WPAF in adherence to the RTP Timetable.
- 3. The Candidate is responsible for preparing, as necessary, a timely rebuttal or response at each level of the review according to the RTP Timetable.
- 4. The Candidate is responsible for requesting a meeting, if wanted, at each level of the review according to the RTP Timetable. No formal, written response is required subsequent to this meeting.
- 5. The Candidate may request and shall approve of external review and reviewers. (15.12.d) See Appendix C.

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# **FAC: Department Chair Selection**

Rationale: in Spring 2012, the Academic Senate approved an interim policy to incorporate lecturer faculty input in the department chair recommendation process, in compliance with lecturer faculty rights as stipulated in the CBA. Some in Senate voiced concerns that lecturer input might contradict that of tenure-line faculty -- that in units with a large number of lecturer faculty, the tenure-line faculty might be "outvoted" by lecturer faculty. During the Fall 2012 semester, the FAC consulted with affected university units to ascertain the extent to which such concerns were borne out in the Spring 2012 Chair recommendation process. The FAC inquiry found no such instances - rather, in all of the department chair recommendation processes in CHABSS, CSM, and CoBA, there was only one nominee for each department chair opening, and in no case was there any disparity between tenure-line versus lecturer faculty recommendations regarding these uncontested nominations. The process in question is not an election - it is, rather, a faculty recommendation to the President, who, per the CBA, exercises sole authority in the appointment of department chairs - and thus, in the absence of "votes," neither tenure-line nor lecturer faculty can be "outvoted" in such a process;

Definition: A policy regarding the process for selecting recommendations for department chair.

Authority: President of the University.

Departments within Academic Affairs.

#### I. ELIGIBILITY

Scope:

Any full-time probationary or tenured faculty member is eligible to serve as a department chair.

#### II. NOMINATION PROCESS

A. Nominations must be open for a minimum of one week.

B. Potential candidates may self-nominate or be nominated by lecturer or tenure line faculty in the department.

C. Permission must be given by a nominee before their name is placed on the ballot.

D. Nominations will be collected by the Dean's office.

#### III. ELIGIBLE VOTERS

B.

A. All tenure-track faculty are eligible to vote for nominated candidates.

 to vote for nominated candidates.C. In the academic year in which the nominating process occurs: 1) tenure-track faculty shall have a

All lecturer faculty with a minimum of two semesters of employment in a department are eligible

 full vote; 2) lecturer faculty with a 0.5 or greater entitlement shall have a full vote; 3) lecturer faculty with less than a 0.5 entitlement shall have a half vote.

 D. Faculty with split appointments will be entitled to vote in both departments in accordance with III. A-C above.

#### IV. BALLOT PREPARATION AND RECOMMENDATION PROCESS

A. The voting shall take place during the last year of the incumbent's term.

B. The Dean's office shall prepare the electronic ballots.

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	C	Ballots shall contain the names of one or more nominees.
	C.	Danots shall contain the names of one of more nonlinees.
	D.	The Dean's office shall oversee the voting.
V	CELEC'	TION OF CANDIDATE TO BE RECOMMENDED
٧.	SELEC	TION OF CANDIDATE TO BE RECOMMENDED
	A.	The Dean's office will prepare separate electronic ballots for tenure track faculty, lecturer faculty
		entitled to a full vote, and lecturer faculty entitled to a half vote, as defined in section III above.
	R	Ballots will have each nominee's name and instructions to select "Recommend," "Do not
	Б.	Recommend," or "Abstain" for each name.
	C.	The electronic voting will be open for one week.
	D.	The Dean's office will count the ballots and report the tenure track and lecturer votes separately to
		the Dean.
	E.	When selecting the chair, the President's designee will take into consideration the total
		"Recommend" votes cast by the department.
	V.	V. SELECTA.  A.  B.  C.  D.

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#### BLP/UCC: CoBA Healthcare Information Technology Certificate Program

<u>Report from BLP</u>: To assist members of the Academic Senate in their consideration of program proposals, BLP reviews P-forms to assess enrollment prospects as well as likely resource implications of launching a proposed program. We thank Dr. Jack Leu, the proposer of the proposed HIT certificate program, for his collegial response to our feedback so that we could complete our evaluation in a timely fashion.

**Overview:** This program was launched in AY 2012-13 as a not-for-credit certificate program. As such, it did not require Academic Senate approval. This proposal would, once approved by the Academic Senate, allow the program to be re-launched as a for-credit, self-support program.

**Program Demand:** As a not-for-credit, self-support program, the HIT program was launched this year with 21 students, many of whom already hold advanced degrees and/or are already working in the health care field. It is anticipated that moving to a for-credit model will enhance recruitment, as this will allow students to apply for financial aid and/or obtain employer support for tuition fees. Extended Learning estimates that 20 students per cohort will need to be recruited for the program to be viable. Community support for the program is also demonstrated by the members recruited to the program's own Advisory Board, the list of whom includes various leaders in the local health care industry. Additionally, the current not-for-credit program is supported by partnerships with various local health care providers, including Sharp Health and Planned Parenthood.

**Resource Implications:** All of the program's existing not-for-credit courses will require Senate approval to be listed in the future as for-credit offerings; however, all courses will be offered as self-support, so no state funding is requested or anticipated. Extended Learning currently estimates that students will be charged \$450 per unit, plus any additional standard campus fees.

Most courses will be offered by COBA's tenure-track faculty; as currently envisioned, these faculty members, in conjunction with the College, will have the option of teaching these courses as "overloads" (for additional pay, per the CBA pay scale) or as part of their standard workload (with the College to be reimbursed by Extended Learning for the faculty member's reassigned time). Advising resources (including resources needed to run admissions) need to be worked out in advance with Extended Learning. The Library has suggested subscribing to an additional online database (Cochrane Database of Systematic Reviews, \$3500/year); this database would then be available to students in other programs as well. Any such resource needs should be worked out with Extended Learning and factored into the assigned student fees.

<u>Report from UCC</u>: In November, UCC approved Healthcare Information Technology Certificate Program with six courses.

This program was launched in AY 2012-13 as a not-for-credit certificate program. It is currently being taught through Extended Learning. This proposal would, once approved by the Academic Senate, allow the program to be a for-credit program through EL.

- 44 The program includes six two-units courses:
  - HIT 500 Healthcare Systems: Structure and Process
- 46 HIT 510 Data Management for Healthcare Decision Support
- 47 HIT 520 Electronic Health Records
- 48 HIT 530 Data Communication and Security for Healthcare
- 49 HIT 540 Managing Healthcare System Change
- 50 HIT 550 Project Management and Process Improvement for Healthcare

One of the key component of the United States' healthcare system reform is to replace archaic medical and health record and paper-based systems with modem information technologies. This program has

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been designed to address these needs and challenges. It emphasizes information technology, application of analytical methods, re-engineering, innovation, and change management. The program has support from healthcare professionals throughout the nation as well as faculty from CoBA, Computer Science and School of Nursing. All of the courses for the certificate programs have already been developed and half of them have been taught in fall semester 2012. The curriculum received inputs from an advisory board consisting of clinicians and healthcare professionals.

## <u>Proposed Catalog Language for the</u> Healthcare Information Technology Certificate:

## Healthcare Information Technology (HIT) Certificate Program

The United States' healthcare system is undergoing a fundamental transformation to address ballooning costs while improving access, quality, safety, and efficiency. A critical element of this revolution is the replacement of archaic medical and health record and reporting paper-based systems with modern information technologies. These changes will provide new and expanding professional opportunities. The CSUSM Healthcare Information Technology (HIT) Certificate program will focus on providing participants with a broader vision of the future of healthcare and the knowledge needed to encourage its evolution and serve as agents of change, innovators,

73 leaders, and entrepreneurs.

- The graduate-level CSUSM HIT program has been specifically designed to address these needs and challenges. The program emphasizes information technology, application of analytical methods, re-engineering, innovation, and change management. The program has been developed and taught by faculty and industry experts. It engages students by integrating theory and real world applications, drawing from a variety of organizations and industry groups. The program will also showcase HIT startups. Students will acquire skills relevant to a range of healthcare industry sectors including providers, insurers, government agencies, plan sponsors, HIT support and training organizations, and HIT new ventures.
- and training organizations, and HIT new venture
   Admission and Application Requirements

- A bachelor's degree or a senior standing in college with relevant skills or experiences in information systems or healthcare
- Mathematical proficiency at a minimum level of college Algebra
- Submission of the online HIT Program Application (http://www.csusm.edu/el/HIT)
- Submission of a personal statement
- Submission of current resume
  - Hard copy transcripts from each college and university attended mailed to:

- California State University San Marcos
- **Extended Learning**
- **Attn: Student Services/HIT** Program
- 93 333 S. Twin Oaks Valley Rd.
- 94 San Marcos, CA 92096

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## **Total Units: 12**

96	
97	

98	Course Title	<u>Units</u>
99		
100	HIT 500 - Healthcare Systems: Structure and Process	2
101	HIT 510 - Data Management for Healthcare Decision Support	2
102	HIT 520 - Electronic Health Records	2
103	HIT 530 - Data Communication and Security for Healthcare	2
104	HIT 540 - Managing Healthcare System Change	2
105	HIT 550 - Project Management and Process Improvement for Healthcare	2

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#### FAC: Lecturer Evaluation policy

Rationale: The Faculty Affairs Committee was originally charged with reviewing and revising as necessary all university procedures for evaluation in light of the new Collective Bargaining Agreement (September 18, 2012 - June 30, 2014). As it was studying the procedure for Lecturer evaluation in the College of Science and Math, FAC realized that all college/division documents on Lecturer evaluation would need revision in light of the new CBA. So FAC consulted with Executive Committee and then embarked on the project of developing a new university-wide procedure for Lecturer evaluation, parallel to the university RTP document for tenure track faculty. The draft procedure addresses all Lecturers, including librarians and counselors (SSP-ARs), but not coaches (once this document is finalized, it would be adapted for the evaluation of coaches). FAC has collaborated with the office of Faculty Affairs to develop timetables for the Lecturer evaluation process, parallel to the timetable for RTP. The timetables are not part of the proposed procedure document, but we include the draft timetables to illustrate to Senators how the process will work. Lastly, FAC has worked with Faculty Affairs to make available to Senators some materials to explain the differences between Lecturer evaluation in the old CBA and the new CBA.

Also please note that the proposed procedure does not include any forms. Depending on the discussion in the Senate, FAC can develop them later and in light of the new procedure.

FAC believes that having a university-wide procedure for Lecturer evaluation will be beneficial to all parties. If the Academic Senate and the President approve this procedure, all College/division documents would need to be changed to conform. College/division documents would have to be updated anyway to conform to the new CBA, but hopefully with a university-wide procedure, College/division could be more streamlined and also be edited to reflect the reality of the restructuring and also any initiatives to develop standards at the department or equivalent level.

Definition:

Authority:

Scope:

I. Purpose

 This document establishes a university-wide procedure for CSUSM for the periodic evaluation of Lecturer Faculty, including librarians and counselors (SSP-ARs), taking into account the need to:

A. Comply with Board of Trustees policies, Title 5 of the California Administrative Code, the California Education Code; the Unit 3 Collective Bargaining Agreement (CBA), and other applicable State and Federal laws pertaining to the employment of Lecturer Faculty.

B. Be consistent with the terms outlined in the appointment letters issued to Lecturer Faculty.

C.Provide Lecturer Faculty with feedback to improve teaching and student learning.

D. Provide evaluators with materials for the periodic evaluation of Lecturer Faculty.

E. Provide appropriate administrators with documentation to base reappointment and other personnel actions relating to Lecturer Faculty.

#### **II. Definitions**

A. A *Lecturer Faculty* member (or *Lecturer*) is a full-time or part-time Unit 3 employee appointed for one or more semesters off the tenure track. *Full-time* refers to an appointment totaling fifteen units in a semester, within one department, program or equivalent. *Part-time* refers to an appointment

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totaling fewer than fifteen units in a semester.

B. A *semester or equivalent* means an academic semester or a four-month appointment period.

C. The *evaluation cycle* is the period of service being evaluated as specified in this procedure; e.g. one semester, one academic year, three years, or six years.

D. The *appropriate administrator* for each college and the library is the Dean or Associate Dean. The *appropriate administrator* for counselors is the Director of Student Health and Counseling.

E. Composition of the Peer Review Committee (PRC)

The Department or appropriate academic unit is responsible for determining the size and election conditions of the PRC. The Department Chair shall ensure that there is an election of a PRC. Where no Department Chair exists, the department or appropriate faculty governance unit will ensure that there is an election of a PRC.

The PRC shall be composed of at least three full-time tenured faculty elected by tenure-track faculty in the Lecturer's department (or equivalent), with the chair elected by the committee. If there are not enough eligible faculty members in a department or program, the department or program shall elect Peer Review Committee members from eligible university faculty in related academic disciplines.

Each College or equivalent unit shall adopt procedures for electing a Peer Review Committee from the eligible faculty. These procedures must follow the guidelines of the CBA.

F. The *Working Personnel Action File* (WPAF) shall be defined as that file specifically generated for use in a given evaluation cycle. That file shall include all required forms and documents, all information specifically provided by the Lecturer being evaluated, and information provided by faculty, students and academic administrators. It shall also include all faculty and administrative level evaluation recommendations from the current evaluation cycle, and all rebuttal statements and responses submitted (CBA 15.8). The WPAF may be submitted in electronic format. Guidelines for electronic submission may be obtained from the college / division.

The materials in the WPAF shall be incorporated by reference into the PAF. At the beginning of the evaluation cycle, the Lecturer being evaluated shall prepare an index of these materials and submit it with the WPAF. Lecturer faculty shall appropriately update the index to reflect any material added to the WPAF during the course of the evaluation. This index and the CV shall be permanently placed in the PAF by the Dean's office (or appropriate administrator). At the end of the evaluation cycle, the WPAF shall be returned to the Lecturer (CBA 15.9).

G. The *Personal Action File* (PAF) shall be defined as "the one (1) official personnel file for employment information and information that may be relevant to personnel recommendations or personnel actions regarding a faculty unit employee." Only the official PAF shall be used as the basis of personnel actions (CBA 11.1).

H. Colleges/divisions and/or departments/programs may also provide additional evaluation criteria, which may be termed *Standards*. Such *Standards* must be approved in accordance with campus policy, such as the policy on *Temporary Faculty Unit 3 Employees-Department Level Standards and Additional Material for Evaluations*.

I. Throughout this document, the word "shall" indicates mandatory action; the word "may"

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indicates voluntary action.

#### III. General Procedure

A. No later than 14 days after the first day of instruction of the academic term, the Office of Faculty Affairs shall provide each Lecturer a copy of the *Procedure for Periodic Evaluation of Lecturer Faculty*. During that time frame, the college/division and/or department/program shall provide its specific evaluation standards and/or criteria (if any). Evaluation criteria and procedures shall be made available to the evaluation committees and the academic administrators prior to the commencement of the evaluation process. Once the evaluation process has begun, there shall be no changes in evaluation criteria and procedures (CBA 15.3).

B. Each academic year, the Office of Faculty Affairs shall publish *Timetables for the Periodic Evaluation of Lecturer Faculty*. The timetables shall include deadlines for submission of the WPAF as well as for each stage of the evaluation. All Lecturer evaluations must be completed in accordance with the established deadlines. Each spring, the Dean's Office or appropriate administrator shall give to department chairs and their equivalents a list of Lecturers appointed in their program areas, including the terms of their appointments and entitlements (if any).

C. Prior to the beginning of the evaluation process, the Lecturer shall be responsible for the identification of materials they wish to be considered and for the submission of such materials (CBA 15.12a).

D. Review for Completeness: evaluating committees and administrators shall be responsible for identifying materials relating to the evaluation *not* provided by Lecturers (CBA 15.12a). For Lecturer appointments specified in Sections IV.A and IV.C, below, department chairs shall review the file for completeness and contribute such information to the appropriate administrator.

E. Once a WPAF is declared complete, additional material may only be inserted with the approval of the evaluation committee, as applicable, and the appropriate administrator and shall be limited to items that became accessible after this declaration. Material inserted in this fashion shall be returned to the initial level evaluation committee, as applicable, for review, evaluation and comment before consideration at subsequent levels of review (if any). If, during the evaluation process, the absence of required evaluation documents is discovered, the WPAF shall be returned to the level at which the requisite documentation should have been provided. Such materials shall be provided in a timely manner (CBA 15.12b).

F. The WPAF shall be forwarded in a timely manner to the next level of review, as applicable (CBA 15.4). At all levels of review, before recommendations are forwarded to the next level, the Lecturer shall be given a copy of the recommendation and the written reasons thereof. The Lecturer may submit a written rebuttal or response within ten (10) days following receipt of the recommendation. A copy of the response or rebuttal statement shall accompany the WPAF and also be sent to all previous levels of review, as applicable (CBA 15.5).

G. Faculty, students, academic administrators and the President may contribute information to the evaluation of Lecturer Faculty. Information submitted by the Lecturer and by academic administrators may include statements and opinions about the qualifications and work of the Lecturer provided by other persons identified by name (CBA 15.2).

H. Only tenured faculty and academic administrators may engage in deliberations and make recommendations on the evaluation of Lecturers (CBA 15.2). Only tenured faculty can serve on peer review committees (PRC).

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I. Probationary and Lecturer Faculty may provide peer input, if so requested by the Lecturer being evaluated, but they may not engage in deliberations or make recommendations.

J. Written or electronic Student Evaluations of Instruction shall be required for all Lecturers who teach, in accordance with the CBA. The results of these evaluations shall be placed in the Lecturer's PAF or may be stored in electronic format and incorporated by extension into the PAF. Individuals involved in evaluations and personnel recommendations and decisions shall be provided secure access for these purposes (15.15).

K. Lecturers with appointments in more than one department, program or equivalent shall be evaluated separately by each department, program or equivalent based on their appointment in that department, program or equivalent.

L. A request for an external review of materials submitted by a Lecturer may be initiated at any level of review and by any party to the review. Such a request shall specify the special circumstances that necessitate an outside reviewer and the nature of the materials needing external review. The request must be approved by the President or President's designee with the concurrence of the Lecturer (CBA 15.12 d).

M. When classroom visits are utilized as part of the evaluation, the Lecturer shall be provided at least five (5) days notice that a peer visit to is to take place. There shall be an opportunity for consultation between the Lecturer and the peer following the visit (CBA 15.14).

N. The Lecturer shall be provided an electronic copy of the evaluation, which must be signed and returned by the Lecturer (electronically or in hard copy). The signed evaluation shall be placed in the Lecturer's PAF (CBA 15.27). If the signed evaluation is not returned in 10 days, it shall be placed in the Lecturer's PAF unsigned.

O. All reappointment decisions and other personnel actions shall be based only upon evidence in the Lecturer's PAF (CBA 11.1).

#### IV. Evaluation Requirements by Type of Appointment

#### A. Lecturer Hired for One Semester or Less

 A Lecturer hired for one semester or less shall be evaluated at the discretion of the department chair, the appropriate administrator, or the department or equivalent. The Lecturer may request that an evaluation be performed (CBA 15.25).

### B. Full-Time Lecturer Not Eligible for a Three-Year Appointment

A full-time Lecturer appointed for an academic year but not eligible for a three-year appointment shall be evaluated on a yearly basis. This evaluation shall include Student Evaluations of Instruction, if applicable, evaluation by a PRC of the department or equivalent, evaluation by the appropriate administrator and an opportunity for peer input, if requested by the Lecturer (CBA 15.23).

#### C. Part-Time Lecturer Not Eligible for a Three-Year Appointment

 A part-time Lecturer appointed for an academic year but not eligible for a three-year appointment shall be evaluated on a yearly basis. This evaluation shall include Student Evaluations of Instruction, if applicable, evaluation by the department chair or the appropriate administrator, and an opportunity for peer input, if requested by the Lecturer (CBA 15.24).

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#### D. Full- or Part-Time Lecturer Eligible for a Three-Year Appointment

A full- or part-time Lecturer eligible for a three-year appointment shall be evaluated in the academic year preceding the issuance of a three-year appointment. This evaluation shall include Student Evaluations of Instruction, if applicable, evaluation by a PRC of the department or equivalent, evaluation by the appropriate administrator, and an opportunity for peer input, if requested by the Lecturer.

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> The evaluation shall consider the Lecturer's cumulative work performance during the entire qualifying period for a three-year appointment and shall rate the Lecturer as "satisfactory" or "unsatisfactory" (CBA 15.28).1

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#### E. Full- and Part-Time Lecturer Holding a Three-Year Appointment

A full- or part-time Lecturer holding a three-year appointment shall be evaluated in the third year of the appointment. The Lecturer may be evaluated more frequently upon their request or at the request of the President or designee (CBA 15.26). This evaluation shall include Student Evaluations of Instruction, if applicable, evaluation by a PRC of the department or equivalent, evaluation by the appropriate administrator, and an opportunity for peer input, if requested by the Lecturer.

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The evaluation shall consider the Lecturer's cumulative work performance during the entire threeyear appointment and shall rate the Lecturer as "satisfactory" or "unsatisfactory" (CBA 15.29).2

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#### V. Working Personnel Action File (WPAF)

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A. A WPAF is required for all Lecturer Faculty being evaluated.

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B. For the purposes of the periodic evaluation, the WPAF for Lecturers with teaching duties shall include:

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- 1. WPAF Checklist, completed and signed by the Lecturer (Exhibit I)
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- 2. Index of Materials 240 3. Current curriculum vitae

241 242 4. A list of all courses taught in the department or equivalent 5. One representative syllabus for each course taught during the evaluation cycle6. The complete university-prepared report of the Student Evaluations of Instruction for all courses evaluated in accordance with the CBA during the evaluation cycle (CBA 15.15)3.

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7 A self-assessment or reflection with respect to the duties of the appointment for the evaluation

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<sup>&</sup>lt;sup>1</sup> "A three-year appointment shall be issued if the temporary faculty unit employee is determined by the appropriate administrator to have performed in a satisfactory manner in carrying out the duties of his/her position. The determination of the appropriate administrator shall be based on the contents of the Personnel Action File and any materials generated for use in any given evaluation cycle pursuant to 15.8. Where the appropriate administrator determines that a Temporary Faculty Unit Employee has not performed his/her duties in a satisfactory manner, then the reasons for his/her determination shall be reduced to writing and placed in the Personnel Action File" (CBA 15.28).

<sup>&</sup>lt;sup>2</sup> "A subsequent three-year appointment shall be issued if the temporary faculty unit employee is determined by the appropriate administrator to have performed in a satisfactory manner in carrying out the duties of his/her position. The determination of the appropriate administrator shall be based on the contents of the Personnel Action File and any materials generated for use in any given evaluation cycle pursuant to 15.8. Where the appropriate administrator determines that a Temporary Faculty Unit Employee has not performed his/her duties in a satisfactory manner, then the reasons for his/her determination shall be reduced to writing and placed in the Personnel Action File" (CBA 15.29).

<sup>&</sup>lt;sup>3</sup> "All classes taught by each faculty unit employee shall have such student evaluations unless the President has approved a requirement to evaluate fewer classes after consideration of the recommendation of appropriate faculty committee(s)."

cycle 246 247 8. Course materials such as sample lesson plans, assessments of student learning outcomes, 248 assignments, and examples of student work, pertaining to the evaluation cycle 249 9. Materials required in accordance with approved college/division and/or 250 department/program or equivalent criteria

10. Copies of all prior periodic evaluations with responses/rebuttals (if any);

- 11. A copy of the relevant university procedure, and all college / division, and department /program Lecturer evaluation criteria
- 11. Mailing address to which a copy of the Lecturer's evaluation may be sent.

C. For the purposes of the periodic evaluation, the WPAF for Lecturers, Librarians and Counselors whose primary duties are not teaching shall include:

- 1. WPAF Checklist, completed and signed by the Lecturer (Exhibit II)
- 2. Index of Materials
- 3. Job Description or Assignment of Responsibility
- 4. Current curriculum vitae
- 5. A self-assessment or reflection with respect to the duties of the appointment for the evaluation
- 6. Materials required in accordance with approved college/division and/or department/program or equivalent criteria
- 7. Copies of all prior periodic evaluations with responses/rebuttals (if any);
- 8. A copy of the relevant university procedure, and all college / division, and department /program Lecturer evaluation criteria
- 9. Mailing address to which a copy of the Lecturer's evaluation may be sent.
- D. For the purposes of the periodic evaluation, the WPAF may also include:
  - 1. Any other evidence relevant to the duties of the appointment
  - 2. Evidence of scholarship, professional development, creative activities, and/or service to the campus, the community and/or the profession, whether or not these are required by the appointment. (If these activities are not required by the appointment but are performed voluntarily, they may be recognized as an additional positive factor in the evaluation. However, a lack thereof shall not be considered a negative factor in the evaluation.)
  - 3. Optional peer input from the period being evaluated.

#### VI. Considerations

- A. Lecturers shall be evaluated in compliance with the Unit 3 CBA, in accordance with this procedure, and following the criteria approved by their colleges/divisions and by departments /programs(if any).. In case of conflict between college/division criteria or department/ program criteria and this University-wide procedure, the University-wide procedure shall prevail. This procedure is subject to Board of Trustees policies, Title 5 of the California Administrative Code, the California Education Code, the Unit 3 CBA, and other applicable State and Federal laws.
- B. Lecturer Faculty shall present the relevant evidence in each category (or area) of performance of their appointment. Each level of review is responsible for evaluating the quality and significance of all evidence presented.
- C. Every evaluator, at all levels of review, shall read the Lecturer's WPAF.
- D. In the evaluation of teaching performance, Student Evaluations of Instruction shall not constitute the sole evidence of teaching quality.

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298	E. The Lecturer shall have access to their WPAF at all reasonable times except when the WPAF is
299	undergoing review.
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301	F. Maintaining confidentiality is an extremely serious obligation on the part of reviewers.
302	Lecturers who believe that confidentiality has been broken may pursue relief under the CBA
303	(CBA10).
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305	G. The issuance of a three-year appointment shall be determined by the appropriate
306	administrator based on the contents of the Lecturer's PAF and any materials generated for use in
307	any given evaluation cycle. Where the appropriate administrator determines that a Lecturer has
308	not performed their duties in a satisfactory manner, then the reasons for their determination shall
309	be reduced to writing and placed in the PAF (CBA 15).

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Timetable for Periodic Evaluation of		
Lecturers: <sup>4</sup>		
1. On one-semester appointments		
<b>2.</b> Part-time on one-year appointments		
Action	Calendar Days	Due Date
Fall Semester Evaluations		
WPAF turned in to Dean's Office by Lecturer	1	
Chair to review for completeness	10	
Chair to notify Dean's Office of lacking materials		
Dean's Office to notify Lecturer of lacking materials	10	
Lecturer adds requested material		
Chair review period	30	
Chair adds summaries of Fall Student Evaluations of Instruction		
College/division sends Chair evaluation to Lecturer		
Conege/division sends Chair evaluation to Eccure		
Lecturer's optional response/rebuttal period	10	
Spring Semester Evaluations		
WPAF turned in to Dean's Office by Lecturer	1	
Chair to review for completeness	10	
Chair to notify Dean's Office of lacking materials		
Dean's Office to notify Lecturer of lacking materials	10	
Lecturer adds requested materials	20	
Chair review period	30	
Chair adds summaries of Spring Student Evaluations of Teaching		
College/division sends Chair evaluation to Lecturer		
Lecturer's optional response/rebuttal period	10	

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Timetable for Periodic Evaluation of		
Lecturers: <sup>5</sup>		
1. Full-time on one-year appointments		
2. Eligible for three-year appointments		
3. On three-year appointments		
Action	Calendar Days	Due Date
WPAF turned in to Dean's Office by Lecturer	1	
PRC to review for completeness	5	
PRC to notify Dean's Office of lacking materials		
Dean's Office to notify Lecturer of lacking materials	7	
Lecturer adds requested material		
PRC review period	20	
College/division sends PRC evaluation to Lecturer		
Lecturer's optional response/rebuttal period	10	
Dean/Associate Dean review period	20	
College/division sends Dean's/Associate Dean's		
evaluation to Lecturer		
Lecturer's optional response/rebuttal period	10	

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<sup>&</sup>lt;sup>4</sup> If an extension of time is necessary, a request shall be made to the appropriate administrator. It may only be granted for a reasonable period of time.

The standard of the appropriate administrator. It may only be

granted for a reasonable period of time.